

# **Oracle® Banking Platform Collections**

Infrastructure Setup Guide

Release 2.3.1.0.0

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# Preface

This document helps you to configure the Collections infrastructure setup.

This preface contains the following topics:

- [Audience](#)
- [Documentation Accessibility](#)
- [Organization of the Guide](#)
- [Conventions](#)

## Audience

This document is intended for the following:

- Consulting Staff
- Administrators

## Documentation Accessibility

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## Organization of the Guide

This document contains:

### Chapter 1, "Introduction"

This chapter presents an overview of the infrastructure setup required for Collections.

### Chapter 2, "PTP Approval Changes"

This chapter describes the PTP approval changes, prerequisite, and verification for infrastructure setup.

## Conventions

The following text conventions are used in this document:

| <b>Convention</b> | <b>Meaning</b>   |
|-------------------|--|
| <b>boldface</b>   | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.         |
| <i>italic</i>     | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.                          |
| monospace         | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |



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## Introduction

This document explains the configuration required in enterprise services, such as DMS, OIM, APS to enable Collections module deployment along with OBP platform. You must perform the configuration outlined in this document once Collections module is deployed along with OBP version 2.3.0.0.0. Collections module uses Document Management System (DMS), Oracle Identity Manager (OIM), APS API for document generation and delivery, user profile management, and application security. Various functional components in Collections use the enterprise services offered by OBP.



## PTP Approval Changes

Collectors take promise to pay from customers for the outstanding amount. A Promise to Pay (PTP) transaction may require supervisor approval based on various parameters. The parameters on which PTP approval decision has to be made are covered in subsequent sections along with the details of configuration required to setup the approval process. The PTP approval process has been implemented with Oracle Authorization Policy Manager.

### Prerequisite and Verification

Before you configure the Oracle Authorization Policy Manager (OAPM), ensure the following verifications are performed:

### Verifying Installed Application

You need to follow the below mentioned steps to verify installed application:

1. Collections module must be deployed along with OBP and is up and running.
2. SOA Domain must be configured along with OBP UI and Host Domain.
3. Oracle Authorization Policy Manager (OAPM) must be installed. OAPM is used to implement the resource hierarchies and multiple policy definitions. This is also termed as OES (Oracle Entitlements Server) or APM (Authorization Policy Manager) to verify product shipped configurations in the SOA Domain.

The UI, Host and SOA domains are secured.

4. Ensure that the following are present for PTP approval OES parameters. Following are product shipped parameters, which can be used for configuration:

**Table 2–1 Product-Shipped Adapter Parameters**

| <Host_><br>Home>/config/properties/ConstraintAttributeHelper.properties Product Shipped Parameters | Adapters  |
|--|---|
| PromiseToPay.PTPType   | com.ofss.fc.app.adapter.impl.collection.PromiseToPayWorkflowAdapter |
| PromiseToPay.ProductGroup  | com.ofss.fc.app.adapter.impl.collection.PromiseToPayWorkflowAdapter |
| PromiseToPay.DaysPastDue   | com.ofss.fc.app.adapter.impl.collection.PromiseToPayWorkflowAdapter |
| PromiseToPay.CoverageRatio   | com.ofss.fc.app.adapter.impl.collection.PromiseToPayWorkflowAdapter |
| PromiseToPay.ScrificeAmount  | com.ofss.fc.app.adapter.impl.collection.PromiseToPayWorkflowAdapter |

**Table 2–1 (Cont.) Product-Shipped Adapter Parameters**

| <Host_Home>/config/properties/ConstraintAttributeHelper.properties Product Shipped Parameters | Adapters  |
|---|---|
| PromiseToPay.DaysToFirstInstallment   | com.ofss.fc.app.adapter.impl.collection.PromiseToPayWorkflowAdapter |
| PromiseToPay.NumberOfInstallments   | com.ofss.fc.app.adapter.impl.collection.PromiseToPayWorkflowAdapter |
| PromiseToPay.TotalPromiseDurationInDays   | com.ofss.fc.app.adapter.impl.collection.PromiseToPayWorkflowAdapter |
| PromiseToPay.UserRole   | com.ofss.fc.app.adapter.impl.collection.PromiseToPayWorkflowAdapter |

- To verify whether PTP is configured for approval in the BPM worklist, use the following piece of SQL statement. The SQL query has to be run on the OBP Host database.

```
SELECT * from Flx_Sm_Authorizations_B where Cod_Service_Id =
'com.ofss.fc.appx.collection.service.promisetopay.PromiseToPayApplicationServiceSpi.create';
```

**Table 2–2 Verifying BPM Worklist Parameters**

| Verify results based on the following table:Column | Values   |
|--|--|
| COD_SERVICE_ID                                     | com.ofss.fc.appx.collection.service.promisetopay.PromiseToPayApplicationServiceSpi.create                      |
| COD_AUTH_ID  | 1052   |
| AUTH_DESC  | MATRIX_AUTH (This is to execute the OES rules and check if approval is needed or the PTP can be auto approved) |
| IS_AUTH_REQUIRED                                   | Y (meaning that the PTP should check the OES rules and go for approval if needed)                              |
| IS_DELEGATED                                       | N  |
| CREATED_BY   | SETUP  |
| CREATION_DATE                                      | <some date and time value>   |
| LAST_UPDATED_BY                                    | SETUP  |
| LAST_UPDATE_DATE                                   | <some date and time value>   |
| OBJECT_VERSION_NUMBER                              | 1  |
| OBJECT_STATUS                                      | A  |

If the above row is not present, insert a new row with given values by using the following SQL statements.

```
Insert into FLX_SM_AUTHORIZATIONS_B (COD_SERVICE_ID,COD_AUTH_ID,AUTH_DESC, IS_AUTH_REQUIRED, IS_DELEGATED, CREATED_BY, CREATION_DATE, LAST_UPDATED_BY, LAST_UPDATE_DATE, OBJECT_VERSION_NUMBER, OBJECT_STATUS) values ('com.ofss.fc.app.collection.service.promisetopay.PromiseToPayApplicationServiceSpi.create', '1052', 'MATRIX_AUTH', 'Y', 'N', 'SETUP', to_timestamp('31-MAY-10 02.33.04.000000000 PM', 'DD-MON-RR HH.MI.SS.FF
```

---

```
AM'), 'SETUP', to_timestamp('31-MAY-10 02.33.04.000000000 PM', 'DD-MON-RR  
HH.MI.SS.FF AM'), 1, 'A');
```

If the value of the field IS\_AUTH\_REQUIRED is N, then it can be updated from SM110 screen that is, Approval Checks. The value has to be update to Y from SM110 screen for this application service.

## 2.1 PTP Changes in APM

APM/OES is a system where you can define Oracle Entitlement Server (OES) rules. These rules help in deciding whether PTP approval should be a manual approval or auto-approved.

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**Note:** These rules are part of Day Zero Setup. You can use this document to verify whether the rules have been configured or not. If the rules are not configured, then you use this document to configure them.

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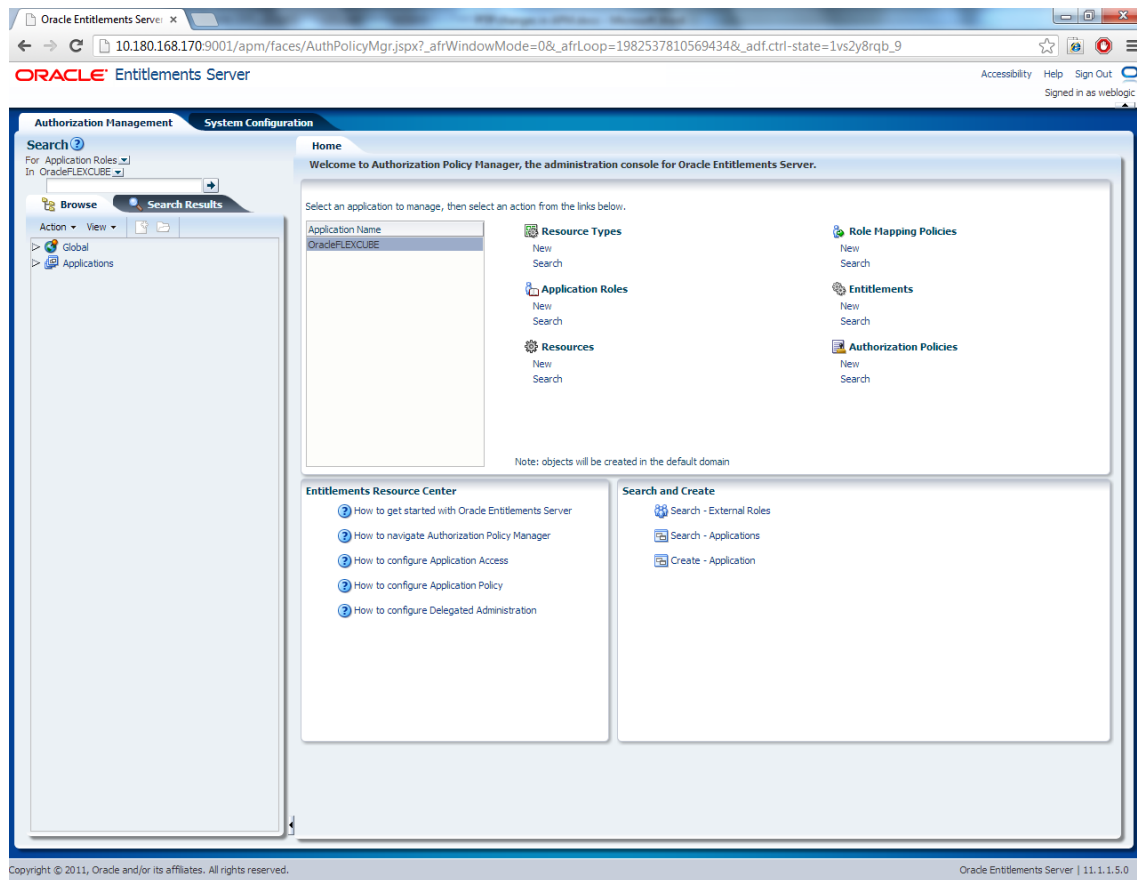
1. In APM, you should add the attributes, based on their values, it is decided whether PTP should be auto-approved or should go for manual approval.
2. The attributes added uses simple if else conditions and based on the final result (true/false), the decision to auto-approve or manual approve is made.

### 2.1.1 Adding the Attributes Required for PTP OES Validation

Follow the steps below to add the attributes required for PTP OES validation:

1. Log in to APM (OES) with administrative credentials.

Figure 2-1 APM (OES) Login

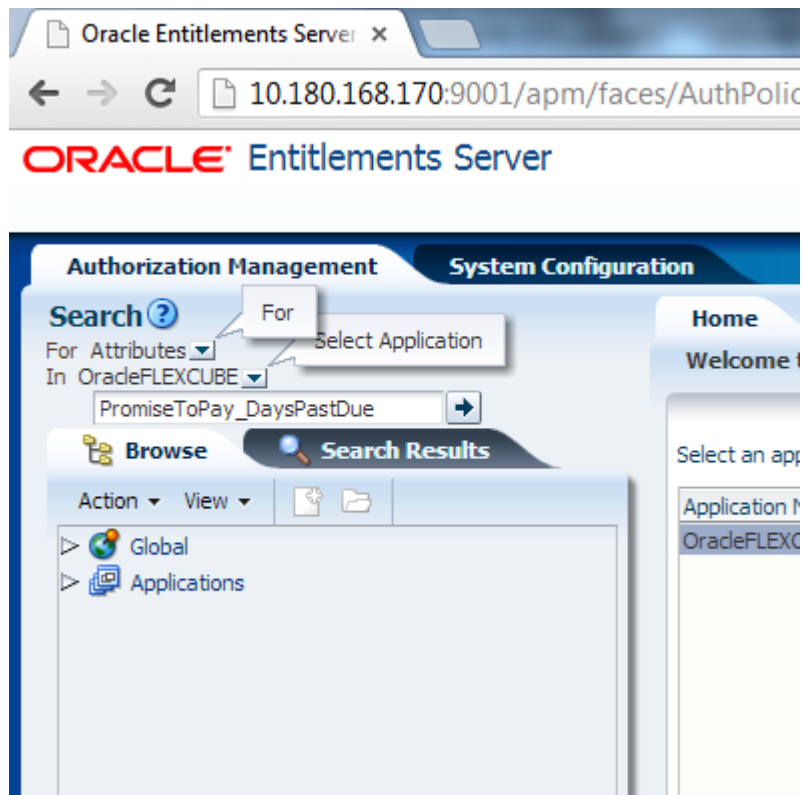


2. In the **Authorization Management** tab, under **Search > For Attributes > In OID PolicyDomain > Search** for any attribute from the list provided below:

- PromiseToPay\_PTPTType
- PromiseToPay\_ProductGroup
- PromiseToPay\_DaysPastDue
- PromiseToPay\_CoverageRatio
- PromiseToPay\_ScrificeAmount
- PromiseToPay\_DaysToFirstInstallme
- PromiseToPay\_NumberOfInstallmen
- PromiseToPay\_TotalPromiseDurationInDays
- PromiseToPay\_UserRole

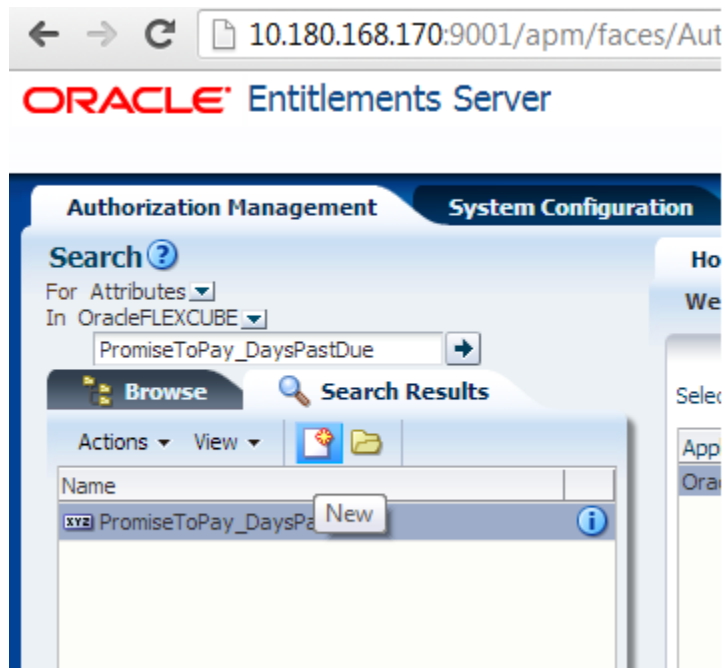
Below mentioned screen is the Attribute **PromiseToPay\_DaysPastDue** screen.

Figure 2–2 Attribute - PromiseToPay\_DaysPastDue



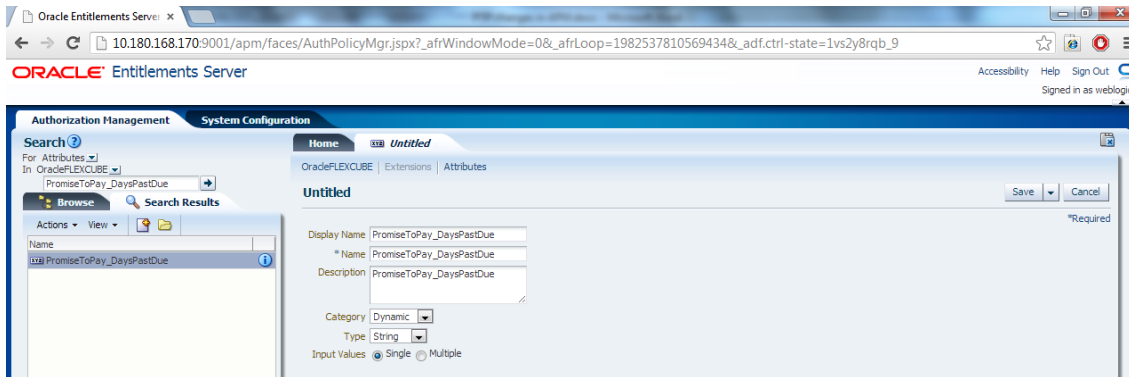
3. If the attribute **PromiseToPay\_DaysPastDue** does not exist, then create it by clicking the New icon.

Figure 2–3 Attribute - PromiseToPay\_DaysPastDue New



4. Enter **Display Name**, **Name**, and **Description**. The **Display Name**, **Name**, and **Description** will be same as the attribute name.

**Figure 2–4 Entering Values**



5. Select **Category** as **Dynamic**.
6. Select the **Type** and **Input Values** based on the field type and the kind of values.
7. Click **Save**.

The following table lists the PTP manual and auto-approve parameters.

**Table 2–3 PTP Manual and Auto-Approve Parameters**

| Display Name                            | Name                                    | Description   | Category | Type    | Input Values |
|---|---|---|----------|---------|--------------|
| PromiseToPay_PTPType                    | PromiseToPay_PTPType                    | Indicates the promise to pay type.                            | Dynamic  | String  | Single       |
| PromiseToPay_ProductGroup               | PromiseToPay_ProductGroup               | Indicates the product group for which the PTP has been taken. | Dynamic  | String  | Single       |
| PromiseToPay_DaysPastDue                | PromiseToPay_DaysPastDue                | Indicates the days past due.                                  | Dynamic  | String  | Single       |
| PromiseToPay_CoverageRatio              | PromiseToPay_CoverageRatio              | Indicates the coverage ratio.                                 | Dynamic  | Integer | Single       |
| PromiseToPay_ScrificeAmount             | PromiseToPay_ScrificeAmount             | Indicates the sacrifice amount.                               | Dynamic  | Integer | Single       |
| PromiseToPay_DaysToFirstInstallment     | PromiseToPay_DaysToFirstInstallment     | Indicates the day after the first installment.                | Dynamic  | String  | Single       |
| PromiseToPay_NumberOfInstallments       | PromiseToPay_NumberOfInstallments       | Indicates the total number of installments in PTP.            | Dynamic  | String  | Single       |
| PromiseToPay_TotalPromiseDurationInDays | PromiseToPay_TotalPromiseDurationInDays | Indicates the total promise duration in days.                 | Dynamic  | String  | Single       |
| PromiseToPay_UserRole                   | PromiseToPay_UserRole                   | Indicates the role of the user who has created the PTP.       | Dynamic  | String  | Single       |



## 2.1.2 Editing Conditions on Attribute

The OES rules are checked based on the conditions defined in the rules. These rules are defined based on the functional requirement and business perspective. In this case, the OES rules are defined to decide whether PTP has to go for manual approval or has to be auto approved. If the outcome is true, auto approval is done, else PTP is sent for manual approval.

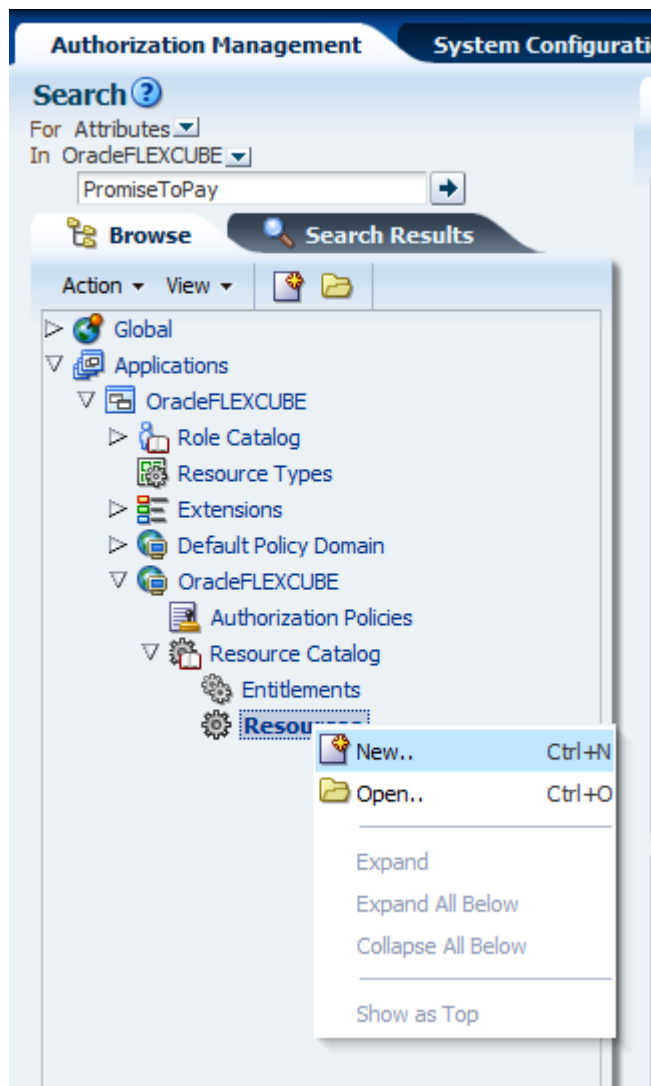
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**Note:** The services will be product shipped and will be available after the day 0 setup. Please follow the steps below to define/verify the conditions on the attributes that are present in the table above.

---

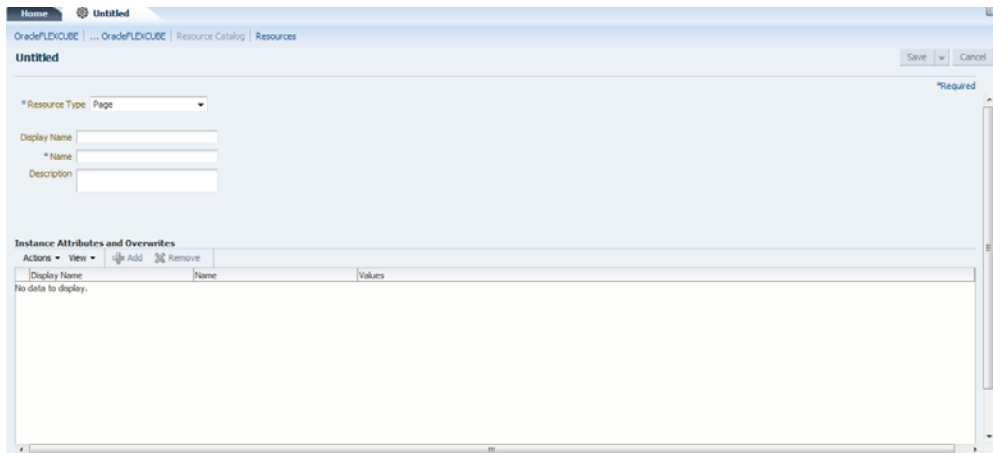
1. Click **Browse > Applications > [Policy Store Area] > [Policy Store Domain] > ResourceCatalog**. Select **Resources**, then right-click and select **Open** (to verify).
2. Right-click **Resources** and then select **New**.

**Figure 2–5 Authorization Management - Creating a New Resource**



When you click the **New** button, following screen appears.

**Figure 2–6 Authorization Management - New Resource Page**



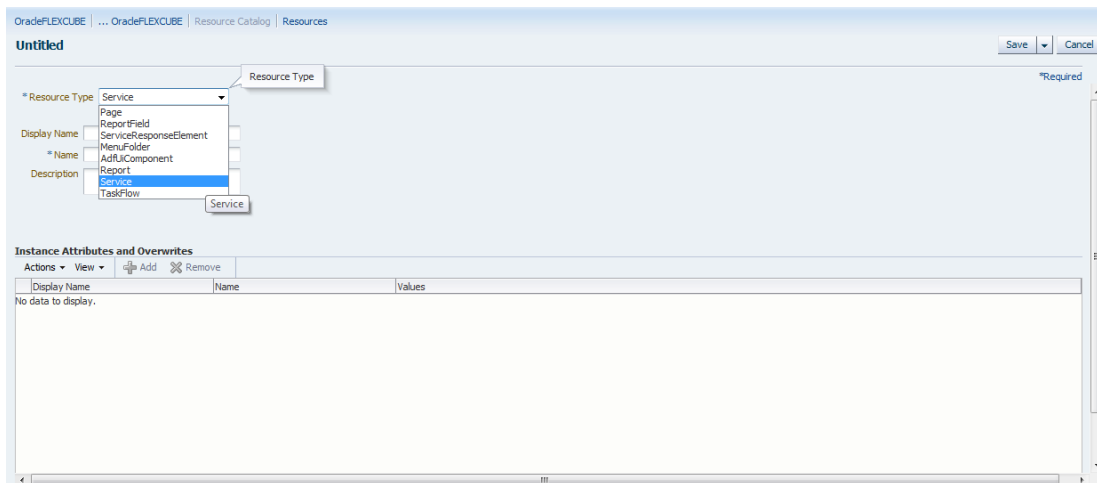
3. In the **Untitled** screen, specify the following values:

**Table 2–4 Creating New Resources**

| Field Name    | Value   |
|---------------|---|
| Resource Type | Service   |
| Display Name  | com.ofss.fc.appx.collection.service.promisetopay.PromiseToPayApplicationServiceSpi.create |
| Name          | com.ofss.fc.appx.collection.service.promisetopay.PromiseToPayApplicationServiceSpi.create |
| Description   | com.ofss.fc.appx.collection.service.promisetopay.PromiseToPayApplicationServiceSpi.create |

4. Select the Resource Type as **Service**.

**Figure 2–7 Authorization Management - Selecting Resource Type**



5. Click **Save**.

## 2.1.3 Adding Policies to Resources

Policies are added to apply grant or deny permissions to the application roles to the application service configured.

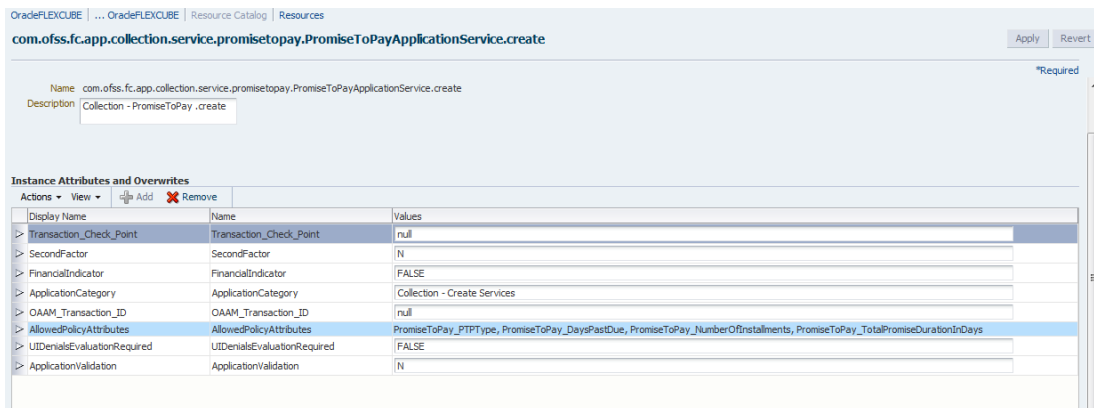
The following application roles are shipped along with the product and will be available in Oracle Internet Directory (OID) once the Day Zero setup is completed.

**Table 2–5 Application Roles**

| Application Role Description    | Corresponding Role in OID |
|---------------------------------|---------------------------|
| Collector (Dialer)              | ClnCollectorDialer        |
| Collector (Early - Prompt mode) | ClnCollectorErPmtMd       |
| Collector (Early - List mode)   | ClnCollectorErLstMd       |
| Collector (Recovery)            | ClnCollectorRecov         |
| Supervisor (Collection)         | ClnSupervisorCln          |
| Supervisor (Recovery)           | ClnSupervisorRecov        |
| Manager (Collection)            | ClnManagerCln             |
| Manager (Recovery)              | ClnManagerRecov           |
| Collection Admin                | ClnCollectionAdmin        |

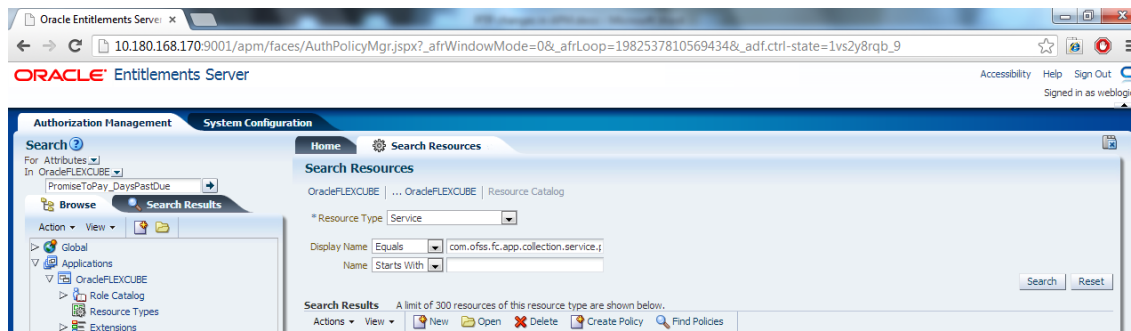
1. You can search the resource from APM domain and view the added attribute in the Service Searched screen.

**Figure 2–8 Service Searched Screen**



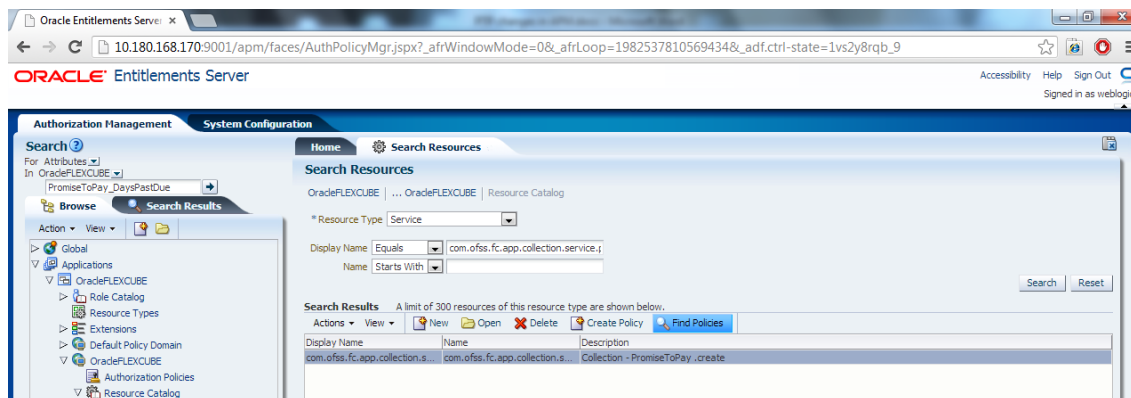
2. To search a service, select **Display Name** as **Equals** and **Value** as **com.ofss.fc.appx.collection.service.promisetopay.PromiseToPayApplicationServiceSpi.create**.

**Figure 2–9 Searching a Service**



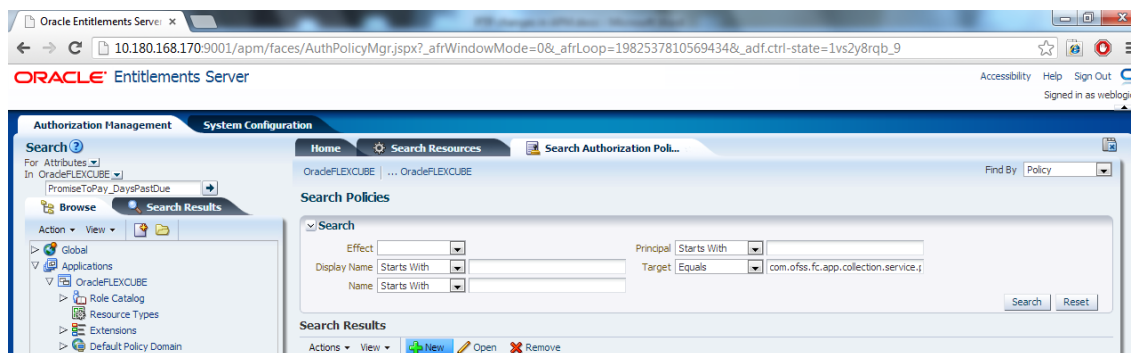
3. Click **Search**.
4. In the **Search Results** grid, click the returned value and then click **Find Policies**.

**Figure 2–10 Search Resources - Find Policies**



5. In the **Search Results** section, click **New**. A new tab named **Untitled** opens alongside Search Authorization Policies tab.

**Figure 2–11 Search Authorization Policies**



6. In the **Untitled** tab, specify the following values:

**Table 2–6 Searching Policies - Search Authorization**

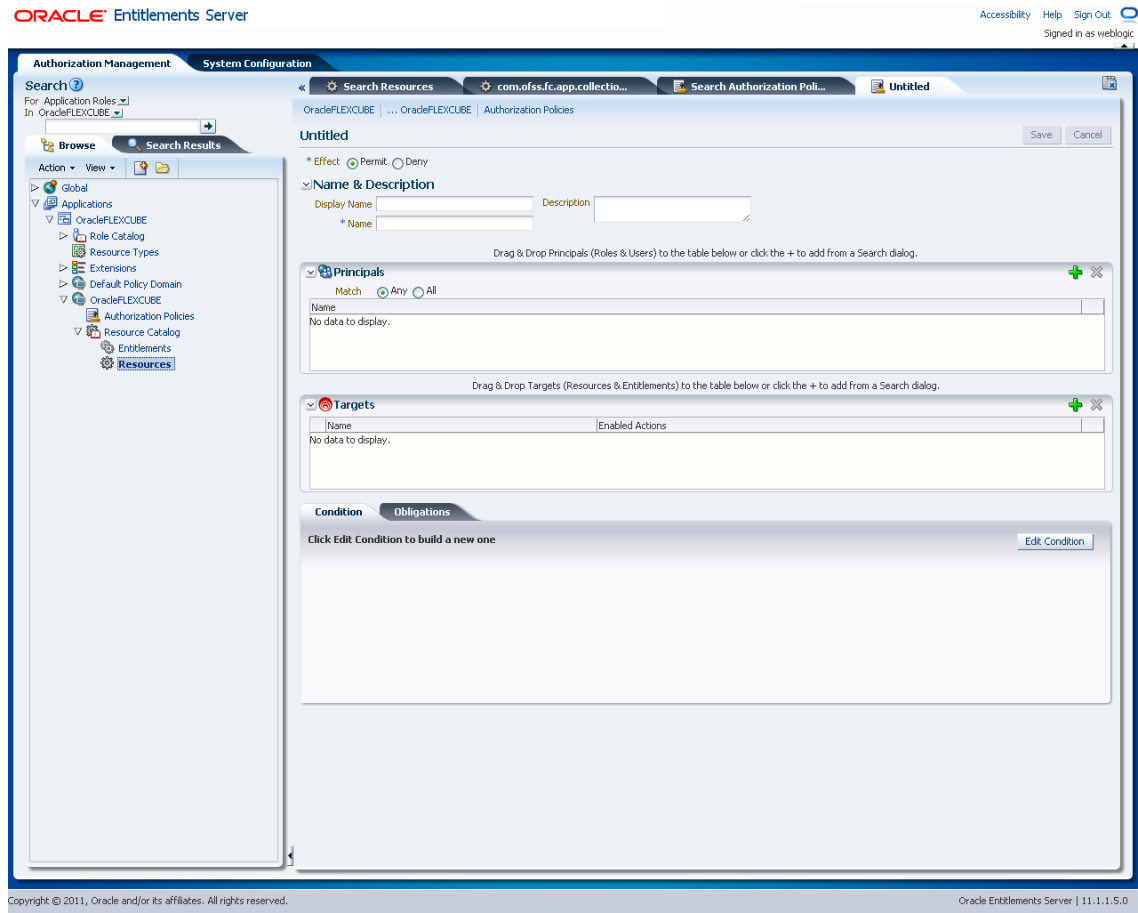
| Field Name   | Value   |
|--------------|---|
| Effect       | Permit  |
| Display Name | <The_application_role_for_whom_grant_is_given_all_caps>_<application_name>_APPX_COLLECTION_SERVICE_PROMISETOPAY_PROMISETOPAYAPPLICATIONSERVICESPI_CREATE_PERFORMWITHOUTAPPROVALS_PL |
| Name         | <The_application_role_for_whom_grant_is_given_all_caps>_<application_name>_APPX_COLLECTION_SERVICE_PROMISETOPAY_PROMISETOPAYAPPLICATIONSERVICESPI_CREATE_PERFORMWITHOUTAPPROVALS_PL |
| Description  | <The_application_role_for_whom_grant_is_given_all_caps>_<application_name>_APPX_COLLECTION_SERVICE_PROMISETOPAY_PROMISETOPAYAPPLICATIONSERVICESPI_CREATE_PERFORMWITHOUTAPPROVALS_PL |
| Principals   | Match - All   |

For example,

LNCOLLECTORDIALER\_OBP\_APPX\_COLLECTION\_SERVICE\_PROMISETOPAY\_PROMISETOPAYAPPLICATIONSERVICESPI\_CREATE\_PERFORMWITHOUTAPPROVALS\_PL

- a. In the **Principals** section, click the **Add Principals** icon. The **Search Principal** window appears.

**Figure 2–12 Search Principal**



- b. In the **Search Principal** window, select Application Roles tab, and then search for the application role which is shipped with the product.

**Figure 2–13 Search Principal - Application Roles**

The screenshot shows a 'Search Principal' dialog box with the following components:

- Search Principal** (Title bar)
- Search for Principals and add them to the Selected Items table below.
- Navigation tabs: **Application Roles** (selected), External Roles, Users.
- Search | Default Roles
- Application: OracleFLEXCUBE
- Display Name: Starts With [text input]
- Name: Starts With [text input]
- Category: [dropdown menu]
- Buttons: Search, Reset
- Search Results**
- View [dropdown], Add Selected, Add All
- Table with columns: Display Name, Role Name, Description, Category. Content: No data to display.
- Selected Principals**
- View [dropdown], Remove Selected, Remove All
- Table with columns: Display Name, Name. Content: Empty.
- Buttons: Add Principals, Cancel

- c. In the result grid, select **Authenticated** role and then click **Add Principals**.

Figure 2–14 Search Principal

Search for Principals and add them to the Selected Items table below.

**Application Roles** External Roles Users

Search | Default Roles

Application OracleFLEXCUBE

Display Name Starts With Coll

Name Starts With

Category

Search Reset

**Search Results**

View Add Selected Add All

| Display Name             | Role Name              | Description           | Category |
|--------------------------|------------------------|-----------------------|----------|
| CollectionAdministrators | CollectionAdministr... | Group of Collectio... |          |
| collectionadmin          | collectionadmin        | Group of Collectio... |          |
| Coll-Collector-Dialer    | Coll-Collector-Dialer  | Coll-Collector-Dialer |          |

Rows Selected 1

**Selected Principals**

View Remove Selected Remove All

| Display Name | Name |
|--------------|------|
|--------------|------|

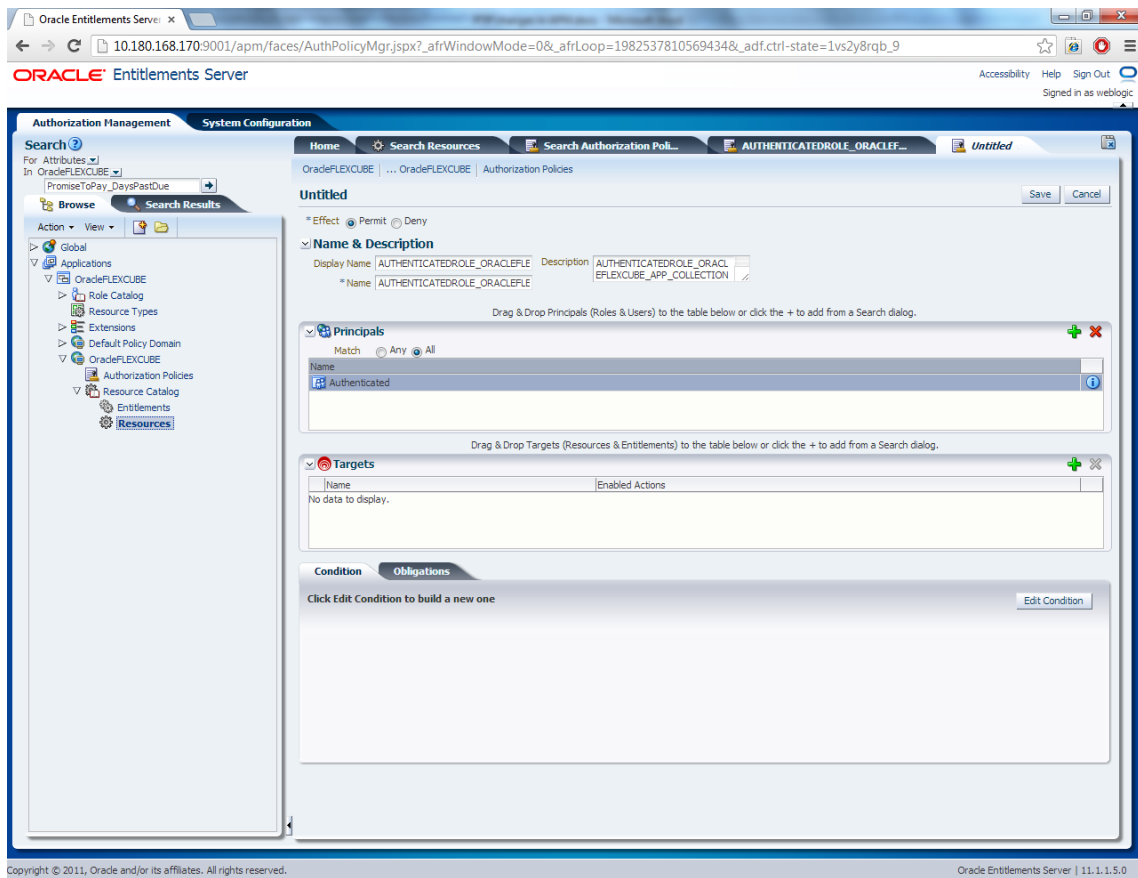
Add Principals Cancel

- d. In the **Targets** section, click the **Add Targets** icon.

The **Search Target** window appears. In the **Search Targets** window, select the same service for which the policy is configured.

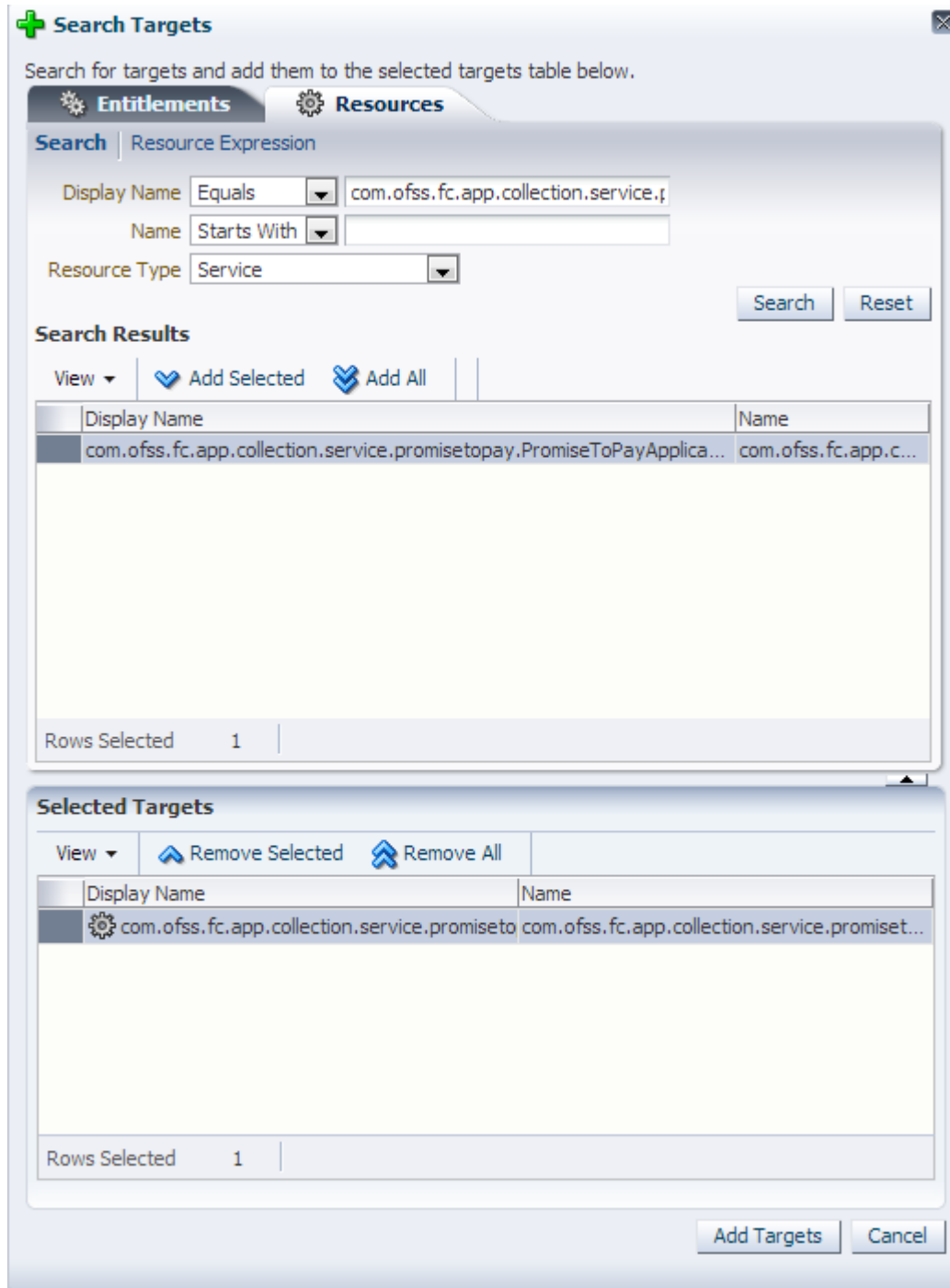


Figure 2–15 Search Targets



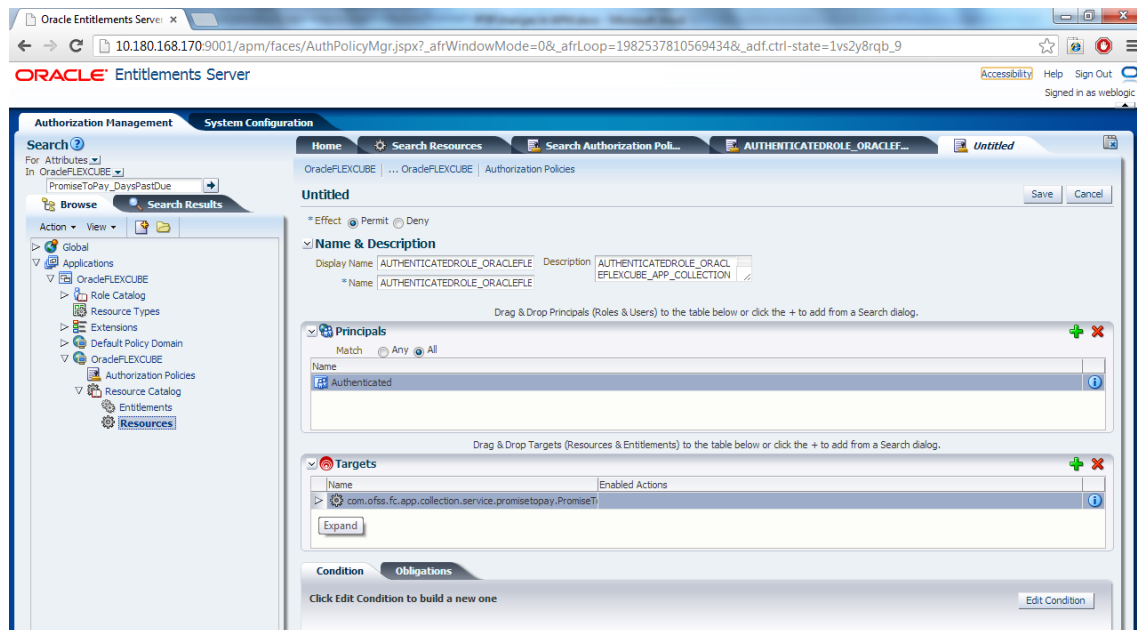
e. Click Add Targets.

Figure 2–16 Add Targets



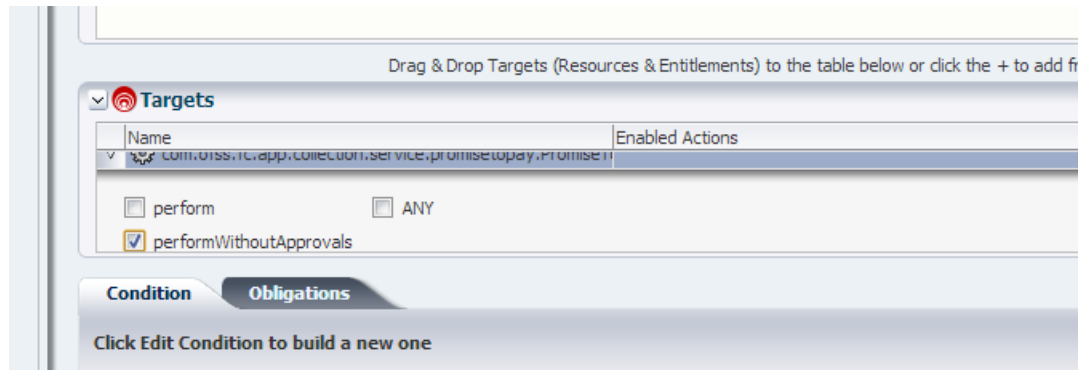
The searched target appears in the **Untitled** tab.

Figure 2–17 Target Appears



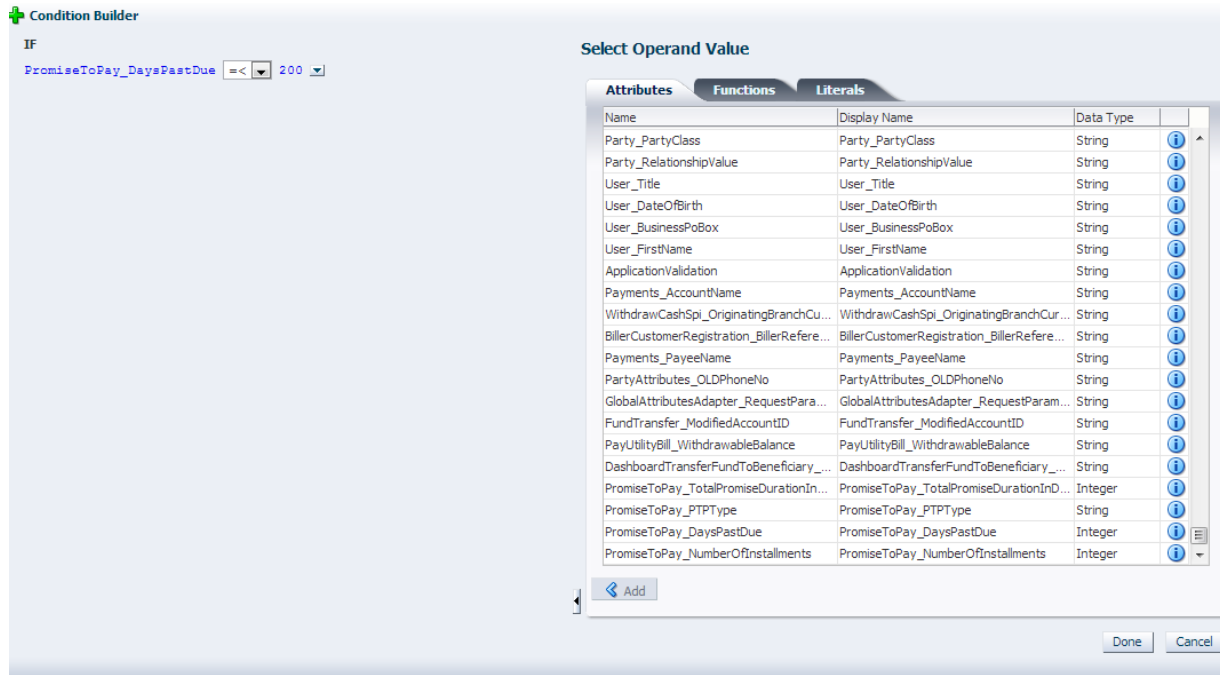
- f. In the **Targets** section, click the **Expand** arrow for the selected target and select **performWithoutApprovals**.

Figure 2–18 Targets - performwithoutApprovals



- g. Click **Edit Condition**.
- h. Select **PromiseToPay\_DaysPastDue** attribute.
- i. Click **Add**.
- j. Select the condition.
- k. Select the value for comparison.

**Figure 2–19 Condition Builder**



- i. Click **Done**.
- m. Click **Save**.

### 2.1.4 Giving Grant

The grants are configured as part of Day Zero Setup. Please verify whether the grants have been configured or not.

If the grants are not configured, you must provide grants to the application roles that are allowed to work on the PromiseToPayApplicationService. In addition, any new grants have to be configured manually through APM.

Two grants have to be provided. The first grant is for access (perform) and the second grant is for executing the OES rules (perform without approvals).

1. Follow the steps from [Section 2.1.2, "Editing Conditions on Attribute"](#) and [Section 2.1.3, "Adding Policies to Resources"](#) to step e - **Click Add Targets**.
2. In the **Untitled** tab, specify the following values:

**Table 2–7 Searching Policies - Giving Grant**

| Field Name   | Value  |
|--------------|--|
| Effect       | Permit   |
| Display Name | AUTHENTICATEDROLE_OBP_APPX_COLLECTION_SERVICE_PROMISETOPAY_PROMISETOPAYAPPLICATIONSERVICESPI_CREATE_PERFORM_PL |
| Name         | AUTHENTICATEDROLE_OBP_APPX_COLLECTION_SERVICE_PROMISETOPAY_PROMISETOPAYAPPLICATIONSERVICESPI_CREATE_PERFORM_PL |
| Description  | AUTHENTICATEDROLE_OBP_APPX_COLLECTION_SERVICE_PROMISETOPAY_PROMISETOPAYAPPLICATIONSERVICESPI_CREATE_PERFORM_PL |

3. Click **Expand** in targets for the selected target.
4. Select **Perform**.
5. Click **Save**.

